## Historical cryptography

### cryptography ≈ encryption main applications: **military and diplomacy**



ancient times

world war II

## Historical cryptography

- All "historical" cryptosystems badly broken!
- No clear understanding or science of what properties are needed.
- Honest users and attackerss are humans with limited computational capabilities.

## Modern cryptography

### cryptography based on rigorous science/math

multiparty-computations coin-tossing zero-knowledge electronic auctions electronic voting e-cash private info public-key cryptography retreival signature schemes threshold crypto rigorous definitions

information theory

post-war

sevenites

now

## What happened?

### **Technology**

afforadable hardware



#### <u>Demand</u>

companies and individuals start to do business electronically



### **Theory**

information theory + computational complexity

can reason about security in a **formal way**.

# Modern cryptography

- Rigorous definitions of what it means to have secure encryption, signature, ...
- Elegant constructions using number theory, algebra. (Still many ad-hoc constructions, we'll ignore them)

- Proofs of security
  - usually rely on simple-to-state, well-studied "hardness assumption".

### Provable security – the motivation

In many areas of computer science formal proofs are **not essential**.

For example, instead of proving that an algorithm is efficient, we can just simulate it on a *"typical* input".

In **cryptography** we can't experimentally demonstrate security. A notion of a "*typical* attacker" does not make sense. Can't run a test to check non-existence of an attack.

### **Need proofs!**

### This course is about...

 <u>Main focus</u>: how can we rigorously define security requirements, reason about them, use math to achieve them?

- <u>Cover</u>: basic cryptographic primitives: encryption, authentication, hash functions, signatures...
  - Some advanced topics, mostly towards the end.
  - Emphesize elegant ideas and constructions over ad-hoc methods and schemes used in practice.

### This course is **not** about

- practical data security (firewalls, intrusion-detection, VPNs, etc.),
- Implementing cryptography: many pitfalls
- **history** of cryptography,
- number theory and algebra

(we will use them **only as tools**)

• complexity theory.

### The Encryption Problem

## Encryption Schemes (a very general picture)

Encryption scheme = encryption & decryption procedures



# **Kerckhoffs' principle**



<u>Auguste Kerckhoffs (1883)</u>: The enemy knows the system

The cipher should remain secure even if the adversary knows the specification of the cipher.

The only thing that is **secret** is a

key k

that is usually chosen uniformly at random

### A more refined picture



### Kerckhoffs' principle: motivation

- 1. It is unrealistic to assume that the design details remain secret. Too many people need to know. Software/hardware can be **reverse-engineered!**
- 2. Pairwise-shared keys are easier to **protect**, **generate** and **replace**.
- 3. The design details can be discussed and **analyzed in public**.
- 4. What would it even mean formally that the specification is unknown? Does it have a **distribution**?

Not respecting this principle = ``security by obscurity".

### A mathematical view

- $\mathcal{K}$  **key** space:
- *M* **plaintext** space
- C ciphertext space

An encryption scheme is a pair (Enc,Dec), where

- Enc:  $\mathcal{K} \times \mathcal{M} \rightarrow C$  is an encryption algorithm,
- **Dec** :  $\mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$  is an **decryption** algorithm.

We will sometimes write Enc<sub>k</sub>(m) and Dec<sub>k</sub>(c) instead of Enc(k,m) and Dec(k,c).

### <u>Correctness</u>

for every **k**, **m** we should have **Dec**<sub>k</sub>(**Enc**<sub>k</sub>(**m**)) = **m**.

### Idea 1: Shift cipher

 $\mathcal{M}$  = words over alphabet {A,...,Z}  $\approx$  {0,...,25}  $\mathcal{K}$  = {0,...,25}

> $Enc_k(m_0,...,m_n) = (k+m_0 \mod 26,..., k+m_n \mod 26)$  $Dec_k(c_0,...,c_n) = (k+c_0 \mod 26,..., k+c_n \mod 26)$



Cesar: **k** = 3



## Security of the shift cipher

### How to break the shift cipher? Check all possible keys!

Let **c** be a ciphertext.

For every k c {0,...,25} check if Dec<sub>k</sub>(c) "makes sense".

Most probably only one such k exists.

Thus **Dec<sub>k</sub>(c)** is the message.

This is called a **brute force attack**.

Moral: the key space needs to be large!

### Idea 2: Substitution cipher

 $\mathcal{M}$  = words over alphabet {A,...,Z}  $\approx$  {0,...,25}  $\mathcal{K}$  = a set of permutations of {0,...,25}



 $Enc_{\pi}(m_0,...,m_n) = (\pi(m_0),...,\pi(m_n))$ 

 $Dec_{\pi}(c_0,...,c_n) = (\pi^{-1}(c_0),...,\pi^{-1}(c_n))$ 

### How to break the substitution cipher?

# Use **statistical patterns** of the language.

# For example: the frequency tables.

Texts of **50** characters can usually be broken this way.

Letter	Frequency
E	0.127
Т	0.097
I	0.075
A	0.073
0	0.068
N	0.067
S	0.067
R	0.064
Н	0.049
С	0.045
L	0.040
D	0.031
P	0.030
Y	0.027
U	0.024
M	0.024
F	0.021
В	0.017
G	0.016
W	0.013
V	0.008
K	0.008
X	0.005
Q	0.002
Z	0.001
J	0.001
Figure 7 - Frequency Table	

### Other famous "bad" ciphers

Vigenère cipher:



(1523 - 1596)



Leon Battista Alberti (1404 - 1472)

#### Enigma





Marian Rejewski (1905 - 1980)



### **Perfectly Secure Encryption**

### **Constructions & Limitations**

# Defining "security of an encryption scheme" is not trivial.



how to define security







Sounds great! But what does it actually mean? How to formalize it?

Need some probability theory.

### Example





- Probability space:
  - Universe  $\boldsymbol{\mathcal{U}}$
  - Probability function: for all  $u \in \mathcal{U}$ , assign  $0 \leq \Pr[u] \leq 1$ such that  $\sum_{u \in \mathcal{U}} \Pr[u] = 1$ .

• Example: uniform distribution over  $\mathcal{U} = \{0,1\}^2$ assigns  $\Pr[00] = \Pr[01] = \Pr[10] = \Pr[11] = \frac{1}{4}$ .

- Probability space:
  - Universe  $\boldsymbol{\mathcal{U}}$
  - Probability function: for all  $u \in \mathcal{U}$ , assign  $0 \leq \Pr[u] \leq 1$ such that  $\sum_{u \in \mathcal{U}} \Pr[u] = 1$ .
- Random variables: *X*, *Y*, *Z*, ...
  - Formally, functions  $X : \mathcal{U} \to \mathcal{X}, Y : \mathcal{U} \to \mathcal{Y}$ ...

- induce distributions  $\Pr[X = x] = \sum_{\{u:X(u)=x\}} \Pr[u]$ 

• Example: uniform distribution over  $\mathcal{U} = \{0,1\}^2$ - X = first bit, Y = second bit,  $Z \coloneqq X + Y$ ,  $W \coloneqq X \bigoplus Y$ 

- Probability space:
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- induce distributions  $\Pr[X = x] = \sum_{\{u:X(u)=x\}} \Pr[u]$ 

• Random variables *X*, *Y* are *independent* if for all x,y:  $Pr[X = x, Y = y] = Pr[X = x] \cdot Pr[Y = y]$ 

• Example: uniform distribution over  $\mathcal{U} = \{0,1\}^2$ - X = first bit, Y = second bit,  $Z \coloneqq X + Y$ ,  $W \coloneqq X \bigoplus Y$ 

- Are *X*, *Y* independent?
- Are *X*, *Z* independent?

• Are *X*, *W* independent?

• For two random variables *X*, *Y* and outcomes *x*, *y* we define the conditional probability:

$$\Pr[X = x | Y = y] = \frac{\Pr[X = x, Y = y]}{\Pr[Y = y]}$$

- Interpretation: the probability that X = x if we are told that Y = y.
- Example: uniform distribution over  $\mathcal{U} = \{0,1\}^2$   $-X = \text{first bit}, Y = \text{second bit}, Z \coloneqq X + Y, W \coloneqq X \bigoplus Y$  $-\Pr[X = 1 \mid Z = 1] = ?$

• <u>Events</u>: An event *E* is a subset  $\mathcal{U}$ . We define  $\Pr[E] = \sum_{\{u \in E\}} \Pr[u]$ .

Alternatively, can think of E as binary random var.

• <u>Union bound</u>: for any events  $E_1$ ,  $E_2$ :

 $\Pr[E_1 \cup E_2] = \Pr[E_1] + \Pr[E_2] - \Pr[E_1 \cap E_2]$  $\leq \Pr[E_1] + \Pr[E_2]$ 

• Example: uniform distribution over  $\mathcal{U} = \{0,1\}^2$ - Events  $E_1$ : first bit 1,  $E_2$ : second bit 1.

### Back to cryptography...

"The adversary should not learn any information about m."

Consider random variables:

- M some random variable over  $\mathcal{M}$
- K uniformly random variable over  ${\cal K}$
- **C** = **Enc(K, M)** random variable over *C*

### "The adversary should not learn any information about m."



### Equivalently:

### For all m, c: Pr[M = m] = Pr[M = m | C = c]

### M and C=Enc(K,M) are independent

### For every m, m', c we have: Pr[Enc(K, m) = c] = Pr[Enc(K, m') = c]

### A perfectly secret scheme: one-time pad





### Generalized One-Time Pad

• One-time pad can be **generalized** to any finite *group*.

- <u>Definition</u>: A group (G,+) consists of a set G and an operation + : G × G → G
  - **Associative:** (x + y) + z = x + (y + z)
  - **Commutative** (abelian group): x + y = y + x
  - Identity: there is an element  $\mathbf{0}$  s.t.  $\mathbf{0} + \mathbf{x} = \mathbf{x}$ .
  - Inverses: for all x, there is (-x) such that x x = 0.
## **Generalized One-Time Pad**

• Examples of finite groups:

 $-\mathbb{Z}_n = \{0, \dots, n-1\}$  with addition modulo n.

- When n = 2, this is bits with the xor operation!
- $-\mathbb{Z}_n^t$  vectors of length t, component-wise addition.
  - The zero element is  $\mathbf{0} = (0, \dots, 0)$

#### **Generalized One-Time Pad**

One time pad can be **generalized** as follows.

Let **(G,+)** be a finite abelian group. Let  $\mathcal{K} = \mathcal{M} = C = G$ .

The following is a perfectly secret encryption scheme:

- Enc(k, m) = m + k
- Dec(k, c) = c k

# Perfect secrecy of the one-time pad

- <u>Theorem</u>: The one-time pad over a finite group (G,+) satisfies perfect secrecy.
- **<u>Proof</u>**: For any  $m, m', c \in G$ :

```
Pr[Enc(K,m) = c]
= Pr[K + m = c]
= Pr[K = c - m]
= \frac{1}{|G|}
= Pr[Enc(K,m') = c]
```

#### Why the one-time pad is not practical?

- 1. The key is as long as the message.
- 2. The key cannot be reused.
- 3. Alice and Bob must share a secret key unknown to Eve.

All three are necessary for perfect secrecy!



#### Theorem (Shannon 1949)

"One time-pad is optimal"

In every perfectly secret encryption scheme  $\operatorname{Enc}: \mathcal{K} \times \mathcal{M} \to \mathcal{C}, \operatorname{Dec}: \mathcal{K} \times \mathcal{C} \to \mathcal{M}$ we have  $|\mathcal{K}| \ge |\mathcal{M}|$ .

#### **Intuitive Proof:**

Otherwise can do "exhaustive search". Given ciphertext c, try decrypting with every key k. Will rule-out at least 1 message.

#### **Formal Proof:**

Let M be the uniform distribution over  $\mathcal{M}$  and c be some ciphertext such that  $\Pr[C = c] > 0$ . Consider the set  $\mathcal{M}' = \{ \operatorname{Dec}(k, c) : k \in \mathcal{K} \}$ . If  $|\mathcal{K}| < |\mathcal{M}|$  then exists  $m \in \mathcal{M} / \mathcal{M}'$ . We have:  $\Pr[M = m \mid C = c] = 0$ ,  $\Pr[M = m] = 1/|\mathcal{M}|$ .

# Practicality?

Generally, the **one-time pad** is **not very practical**, since the key has to be as long as the **total** length of the encrypted messages.



However, it is sometimes used because of the following advantages:

- perfect secrecy,
- short messages can be encrypted using pencil and paper.

In the 1960s the Americans and the Soviets established a hotline that was encrypted using the one-time pad.

# Venona project (1946 – 1980)



Ethel and Julius Rosenberg

American National Security Agency decrypted Soviet messages that were transmitted in the 1940s.

That was possible because the Soviets reused the keys in the one-time pad scheme.

# **Beyond Perfect Secrecy**

- Need to move beyond perfect secrecy to get around Shannon's result.
- Intuitively, |K| < |M| means that exhaustive search over keys will reveal something about message. But this might not be efficient!</li>
  - e.g., key is 128-bits, message is 10 GB.
- Will study: Secrecy against *computationally-bounded* attackers.

#### The Authentication Problem

# **Encryption Is Not Enough**



- Alice sends a 1-bit "vote" to Bob: **0** = 'no', **1** = 'yes'.
- Alice encrypts with a one-time pad: vote stays secret from Eve.

# **Encryption Is Not Enough**



- Alice sends a 1-bit "vote" to Bob: 0 = 'no', 1 = 'yes'.
- Alice encrypts with a one-time pad: vote stays secret from Eve.
- What if Eve modifies ciphertext?
  - c'=0 results in random vote.  $c'=c \bigoplus 1$ , flips vote.

#### Authentication



Message Authentication Code (MAC) Message space:  $\mathcal{M}$ , Key space:  $\mathcal{K}$ , Tag space:  $\mathcal{T}$ 

• MAC :  $\mathcal{K} \times \mathcal{M} \rightarrow \mathcal{T}$ 

- Usage:
  - Alice computes t = MAC(k, m), sends (m, t) to Bob.
  - Bob receives (m', t') and checks if t' = MAC(k, m').

Message Authentication Code (MAC) Message space:  $\mathcal{M}$ , Key space:  $\mathcal{K}$ , Tag space:  $\mathcal{T}$ 

- MAC :  $\mathcal{K} \times \mathcal{M} \rightarrow \mathcal{T}$
- <u>Definition:</u> 1-Time Statistically Secure MAC
  - A uniformly random key k from  $\mathcal{K}$  is selected.
  - Eve chooses message m and is given t = MAC(k, m).

Can we make

= 07

- Eve chooses (m', t') s.t.  $m' \neq m$  and wins if
  - t' = **MAC**(k,m').

*\varepsilon*-security: Pr[Eve wins]  $\leq \varepsilon$ 

# Useful Tool: Fields

<u>**Definition:</u>** A field  $(F, +, \cdot)$  consists of a set F and an addition (+) and multiplication  $(\cdot)$  operations.</u>

- Operations +, are **associative** and **commutative**.
- Distributive:  $x \cdot (y + z) = x \cdot y + x \cdot z$
- (F,+) is a group with identity **0**.
  - For all x: x + 0 = x
  - For all x exists (-x) such that x x = 0.
- (F\*, ·) is a group with identity 1 where F\* = F/{0}.
  - For all  $x \in \mathbf{F^*}$ :  $x \cdot \mathbf{1} = x$
  - For all  $x \in \mathbf{F}^*$  exists  $(x^{-1})$  such that  $x \cdot x^{-1} = \mathbf{1}$ .

# Useful Tool: Fields

- Examples of infinite fields:
  - rational  $\mathbb{Q}$ , reals  $\mathbb{R}$ , complex  $\mathbb{C}$ .
  - Not the integers!
- There are finite fields.
  - If p is a prime number then  $\mathbb{Z}_p$  is a finite field.
  - Not true when p is not a prime.

#### **MAC** Construction

Let *p* be a prime number.

- Message/Tag space:  $\mathcal{M} = \mathcal{T} = \mathbb{Z}_p$
- Key space:  $\mathcal{K} = \mathbb{Z}_p \times \mathbb{Z}_p$ .

Define:

**MAC**(k, m) =  $x \cdot m + y$  where k = (x, y).

#### **Proof of MAC Security**

**MAC** $(k, m) = x \cdot m + y$  where k = (x, y), field= $\mathbb{Z}_p$ .

<u>Theorem</u>: Above MAC has 1-time security with  $\varepsilon = \frac{1}{p}$ . <u>Proof</u>: Let K = (X, Y) be uniformly random. For any *m* any *t*:

$$\Pr[\mathsf{MAC}(K,m)=t] = \Pr[X \cdot m + Y = t] = \frac{1}{p}.$$

For any  $m \neq m'$  any t, t':

$$Pr[MAC(K,m') = t', MAC(K,m) = t]$$
  
=Pr[X · m' + Y = t', X · m + Y = t]  
=Pr[X = x, Y = y] =  $\frac{1}{p^2}$  where  $x = \frac{t-t'}{m-m'}$ ,  $y = t - x \cdot m$   
herefore: Pr[MAC(K,m') = t' | MAC(K,m) = t] =  $\frac{1}{m}$ 

## Practicality?

Let *p* be a prime number.

Message/Tag space:  $\mathcal{M} = \mathcal{T} = \mathbb{Z}_p$  Key space:  $\mathcal{K} = \mathbb{Z}_p \times \mathbb{Z}_p$ . MAC $(k, m) = x \cdot m + y$  where k = (x, y).

- Construction is not very practical:
  - Key is twice as big as the message.
  - Can only use key once to authenticate single message.

Can do MUCH

better!

#### **Better MAC Construction**

- Key space:  $\mathcal{K} = \mathbb{Z}_p \times \mathbb{Z}_p$ .
- Message  $\mathcal{M} = \mathbb{Z}_p^d$  for any  $d \ge 1$ .
- Tag space:  $\mathcal{T} = \mathbb{Z}_p$

For k = (x, y) and  $m = (m_1, ..., m_d)$ define **MAC** $(k, m) : \sum_{i=1}^d m_i x^i + y$ 

#### **Proof of MAC Security**

**MAC**(k,m) :  $\sum_{i=1}^{d} m_i x^i + y$  where k = (x, y), field= $\mathbb{Z}_p$ 

<u>Theorem</u>: Above MAC has 1-time security with  $\varepsilon = \frac{d}{p}$ . <u>Proof</u>: Let K = (X, Y) be uniformly random.

For any *m* any *t*:

 $\Pr[\mathsf{MAC}(K,m) = t] = \Pr[\sum_{i=1}^{d} m_i X^i + Y = t] = \frac{1}{p}.$ For any  $m \neq m'$  any t, t':

$$\Pr[\mathsf{MAC}(K, m') = t', \mathsf{MAC}(K, m) = t] \le \frac{d}{p^2}$$

Therefore:  $\Pr[MAC(K, m') = t' | MAC(K, m) = t] \leq \frac{a}{n}$ .

## Proof of MAC Security

**MAC**(k, m) :  $\sum_{i=1}^{d} m_i x^i + y$  where k = (x, y), field= $\mathbb{Z}_p$ 

<u>**Theorem:**</u> Above MAC has 1-time security with  $\varepsilon = \frac{d}{p}$ .

Example:

- Message size =  $2^{33}$  bits (4 GB).
- Set  $p \in [2^{128}, 2^{129}]$  just 129 bit description!
- Set  $d = 2^{26}$ . Think of message as d values in  $\mathbb{Z}_p$ .  $-2^{26}128 = 2^{33}$ .
- Get security:  $\varepsilon \leq 2^{-102}$  and key size 258 bits!

# Practicality?

- Construction is *still* not very practical: can only use key once to authenticate single message.
- Unfortunately, cannot do much better if we want statistical security.
- <u>Theorem</u>: To authenticate q messages with security  $\varepsilon = 2^{-r}$  need key of size (q + 1)r.
  - Proof omitted.

# Combining Encryption & Authentication

• Can Encrypt then Authenticate ciphertext

Send:  $c = \text{Enc}(k_1, m)$ ,  $t = \text{MAC}(k_2, c)$ 







## Secret Sharing : Definition

Message space  $\mathcal{M}$ , Share space SNumber of parties: n

Share :  $\mathcal{M} \to S^n$  randomized algorithm Rec :  $S^n \to \mathcal{M}$ 

- **Correctness**:  $\Pr[\text{Rec}(\text{Share}(m)) = m] = 1$
- **Perfect Security:** for all message distributions M and all sets  $A \subseteq \{1, ..., n\}$  of size |A| = n 1:
  - Let  $(S_1, \ldots, S_n) =$  Share(M) and  $S_A := \{ S_i : i \in A \}$ .
  - Then the distributions of  $S_A$  and M are independent.

## Secret Sharing : Construction

Any finite

group

Message space  $\mathcal{M} = \mathbb{Z}_q$ , Share space  $S = \mathbb{Z}_q$ Number of parties: *n* 

Share(m):

- Choose  $s_1, \ldots, s_{n-1}$  uniformly at random

- Set 
$$s_n \coloneqq m - (s_1 + \dots + s_{n-1})$$

 $\mathbf{Rec}(s_1, \dots, s_n) = s_1 + \dots + s_n$ 

**Theorem:** Above scheme has perfect secrecy **Proof:** For any dist. *M*, any set  $A = \{1, ..., n\}/\{i\}$  and any value  $s_A$ , *m*, we have  $\Pr[S_A = s_A \mid M = m] = \frac{1}{q^{n-1}}$ .\* Probability is same for all *m* means  $S_A$  and *M* are independent.

\* For a fixed *m*, each choice of  $s_A$  corresponds to unique  $s_1, \ldots, s_{n-1}$ .

# **Threshold Secret Sharing**

- Still have n parties with one share per party, but now also threshold t:
  - **Correctness**: Any t + 1 can recover the message.
  - Security: Any t don't learn anything message.

Previous case corresponds to t = n − 1. Can we generalize to any t?

# **Threshold Secret Sharing**

Construction (Shamir Secret Sharing)

- Number of parties n, Threshold t < n.
- Message  $\mathcal{M} = \mathbb{Z}_q$ , Shares  $S = \mathbb{Z}_q$ : q > n prime.

Any finite field

- **Share**(*m*) :
  - Choose *t* random "coefficients"  $c_1, \ldots, c_t$  and set  $c_0 \coloneqq m$ .
  - Define polynomial  $p(x) = \sum_{j=0}^{t} c_j x^j$
  - Output  $s_i = p(i)$ .
- Recover( { (i, s<sub>i</sub>) } ): Lagrange Interpolation.

## Lagrange Interpolation

Let  $z_0, \ldots, z_t$  be any distinct field elements in  $\mathbb{Z}_q$ .

**Theorem:** There is an (efficiently computable) bijection between

- Coefficients:  $(c_0, \dots, c_t)$  giving poly  $p(x) = \sum_{j=0}^t c_j x^j$ 

- Evaluations:  $s_0 = p(z_0), \dots, s_t = p(z_t)$ .

#### Proof:

- Coefficients → evaluations: easy evaluate!
- Evaluations → coefficients:

- Let  $p_i(x) \coloneqq \prod_{j \neq i} \frac{x - z_j}{z_i - z_j}$ . Then  $p_i(z_i) = 1$ ,  $p_i(z_j) = 0$  for  $j \neq i$ . - Let  $p(x) \coloneqq \sum_{i=0}^t s_i \cdot p_i(x)$ . Then  $p(z_i) = s_i$ .

# **Threshold Secret Sharing**

- Construction (Shamir Secret Sharing)
- **Share**(*m*) :
  - Choose *t* random "coefficients"  $c_1, \ldots, c_t$  and set  $c_0 \coloneqq m$ .
  - Define polynomial  $p(x) = \sum_{j=0}^{t} c_j x^j$
  - Output  $s_i = p(i)$ .
- **Recover**( { (*i*, *s*<sub>*i*</sub>) } ) : Lagrange Interpolation.

**<u>Theorem</u>:** Shamir Secret Sharing has perfect secrecy. **<u>Proof</u>:** For any message m, any t distinct points  $z_1, \ldots, z_t \subseteq \mathbb{Z}_q / \{0\}$  and values  $s_1, \ldots, s_t$  we have  $\Pr[p(z_1) = s_1, \ldots, p(z_t) = s_t | M = m] = \frac{1}{q^t}$ Since, once we fix  $p(0) = c_0 = m$ , each choice of  $s_1, \ldots, s_t$  corresponds to unique choice of  $c_1, \ldots, c_t$ .

# Summary

- Saw:
  - "perfectly secure" encryption, secret sharing
  - "statistically secure" message authentication
- No restrictions on attacker computational power
- Big limitations:
  - One-time use per key.
  - For encryption, | message | < | key |</p>

Some of the slides and slide contents are taken from <a href="http://www.crypto.edu.pl/Dziembowski/teaching">http://www.crypto.edu.pl/Dziembowski/teaching</a>

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